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INDICATION FORM**

Application Number	Not yet known
Filing Date	Herewith
First Named Inventor	Erickson, James A.
Group Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	718702.3

27128

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name _____

Kipton P. Hirschbach, Chief Executive Officer
Axis Technologies, Inc.

Signature _____

Ripton P. Hirschbach

Date _____

1-28-2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 1 forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: James A. Erickson, et al.Application No./Patent No.: Not Yet Known Filed/Issue Date: HerewithEntitled: METHOD AND APPARATUS FOR DIMMING CONTROL OF ELECTRONIC BALLASTSAxis Technologies, Inc. a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

1-28-2004
Date

Kipton P. Hirschbach

Typed or printed name

Kipton P. Hirschbach
Signature

Chief Executive Officer, Axis Technologies, Inc.

Title